	- 4	440/070			-1-4- 15 1/		*	
Substitute fo					olete if Known	· · · · · · · · · · · · · · · · · · ·		
INFO	RMA	TION DISC	LOSURE	Application Number	10/665,973			
(Q 5-	TEN 1E	NT BY API		Filing Date		September 18, 2003		
OSIA	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	many sheets as neces	CIOAN I	First Named Inventor:	Quang Tran			
1/	الس 1006	many shoots as neces		Art Unit	3743			
WAY 03	1 4/		1	Examiner Name				
Sheet	/P/_	of	1	Attorney Docket No. 006701.P035				
ART & TRA	DEM		NON PATENT LIT	ERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	item (book, r	magazine, journal, se number(s), publ	AL LETTERS), title of the article rial, symposium, catalog, etc.), of isher, city and/or country where	date, page(s), volu published	ume-issue	T ²	
/S.A./	1			n Patentability (Chapter 1 of t ity, for PCT/US2004/028406,				
				•				
	·					•		
			,					
Examiner Signature		/Shumaya Ali/			Date Considered	04/02/200	07	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique distinct designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO			2005 8		
INFORMATION	D KAC	IS	CĻģ	SI	JRE
STATEMEN'	TB	454	料LI	CA	TNA
(use as man	y sheets a	s nec	essary)		

US-

Complete if Known						
Application Number	10/665,973					
Filing Date	09/18/2003					
First Named Inventor:	Quang Tran					
Art Unit	3764					
Examiner Name	not yet assigned					

					Examiner Name	not y	et assigned
Sheet	1	of		2	Attorney Docket No.	0670	1.P035
	•		U.S. PATE	ENT DOCUMEN	rs		
Exmnr Initials*	Cite No.	Document I	Number Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Doc		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures
			(If known)				Appear
/S.A./		US 6,482,171	B1	11/19/2002	Corvi et al.		
/S.A./		US 6,027,863	B1	02/22/2000	Donadio, III		
~		US-					
		US-					
-		Do					
	T.,	US-			· ·		
		US-					
		U\$-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

			F	OREIGN PATE	ENT DOCUMENTS		
Exmor initials*	Cite No.1	Foreign Patent Do Country Code ³ Number ⁴	Cument Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
/S.A./		WO 01/13832	A1	01/03/2001	Conceptus, Inc.		
/S.A./		WO 97/32623	A1	09/12/1997	Heartport, Inc.		
IS A I		WO 03/004086	A2	01/16/2003	Precision Vascular Systems, Inc.		ļ
							

Data	
Signature /Shumaya Ali/ Date Considered 0	04/02/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

			APR 2 1 2005					
Substitute fo	r Form 1	449/PTO		Co	omplete if Known			
INFO	RMΔ.	TION DISC	EXEM SIMPLE	Application Number	10/665,973			
				Filing Date	09/18/2003			
STATEMENT BY APPLICANT				First Named Inventor:	Quang Tran			
	(use as	many sheets as nece	essary)	Art Unit	3764			
•			•	Examiner Name not yet assigned				
Sheet	2	of	2	Attorney Docket No.	06701.P035			
			NON PATENT L	ITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name item (book	of the author (in CAF , magazine, journal,		icle (when appropriate), title of the .), date, page(s), volume-issue	T ²		
/S.A./		"PCT Transmit International S 04 March 2005	earching Authority	onal Search Report and the V for PCT International Appln	Nritten Opinion of the No. US2004/028406, mailed			
·		-			• .			
			,					
:					<u> </u>			
:								
		·						

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

04/02/2007

/Shumaya Ali/

Examiner

Signature

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03) Complete If Known substitute for form 1449A/PTO **Application Number** 10/665,973 INFORMATION DISCLOSURE September 18, 2003 Filing Date STATEMENT BY APPLICANT TRAN, QUANG First Named Inventor Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned 016355-004600US 4. Attorney Docket Number of Sheet

			U.S. PATENT DO	CUMENTS+	<u> </u>	
Document Number			Publication Date	Name of O	alastos er	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.	Number Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Relevant Passages or Relevant Figures Appear
/S.A./	1	RE 29,345	08-09-1977	Er		
	2	3,561,438	02-09-1971	Car		
	3	3,687,129	08-29-1972	Nuwa		
	4	3,774,600	11-27-1973	Cog		<u> </u>
	5	3,805,767	04-23-1974	Er		
	6	3,858,571	01-07-1975	Rude	. '	·
	7.	3,858,586	01-07-1975	Less		
	8	3,973,560	08-10-1976	Emn		
	9	4,057,063	11-08-1977	Gieles		
	10	4,111,196	09-05-1978	Emn		
	11	4,185,618	01-29-1980	Cor		
	12	4,246,896	01-27-1981	Horne,		
	13	4,353,363	10-12-1982		Quesada .	
	14	4,365,621	12-28-1982	Brur		
	.15	4,416,660	11-22-1983	Daf	loe	
	16	4,509,504	04-09-1985	Brur	ndin	
	17	4,579,110	04-01-1986	Han	nou	
	18	4,595,000	06-17-1986	Han		
\neg	19	4,606,336	08-19-1986	Zel	uff	
	20	4,612,924	09-23-1986	Cim	ber	
	21	4,628,924	12-16-1986	Cim	ber	
	22	4,638,803	01-27-1987	Ra	nd	
	23	4,700,701	10-20-1987	Mon	taldi	
	24	4,727,866	03-01-1988	Livesa	y et al.	
	25	4,788,966	12-06-1988	Yo	on	
	26	4,932,421	06-12-1990	Kaali	et al.	
1.	27	4,994,069	02-19-1991	Ritchar	rt et al.	
	28	5,065,751	11-19-1991	T We	olf	
1	29	5,095,917	03-17-1992	Vanc	aillie	
	30	5,176,692	01-05-1993	Wilk	et al.	
	31	5,207,684	05-04-1993	Not	oles	
1	32	5,226,911	07-13-1993	Chee	et al.	
	33	5,234,437	08-10-1993	Sepi	etka	
	34	5,250,071	10-05-1993	Pale	rmo	
1	35	5,261,916	11-16-1993	Enge	elson	
	36	5,304,194	04-19-1994	Chee	et al.	
1	37	5,304,195	04-19-1994	Twyford,	Jr. et al.	
	38	5,312,415	05-17-1994	Pale	omo	
\\\\	39	5,354,295	10-11-1994	Guglielr	mi et al.	
V	40	5,354,309	10-11-1994	Schnepp-P		
7S.A.7	41	5,382,259	01-17-1995	Phelps		
Examiner Signature		/Shumaya Ali/		Date Considered	04	/02/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. 60095966 v1

Complete If Known ubstitute for form 1449A/PTO Application Number 10/665,973 INFORMATION DISCLOSURE Filing Date September 18, 2003 STATEMENT BY APPLICANT TRAN, QUANG First Named Inventor Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned 016355-004600US 2 Attorney Docket Number Sheet

			U.S. PATENT DO	OCUMENTS+	
		Document Number			
xaminer Initials*	Cite No.	Number Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
IS A I	42	5,458,636	10-17-1995	Brancato	
	43	5,474,089	12-12-1995	Waynant	
	44	5,499,995	03-19-1996	Teirstein	
	45	5,514,176	05-07-1996	Bosley, Jr.	
	46	5,522,822	06-04-1996	Phelps et al.	
	47	5,556,396	09-17-1996	Cohen et al.	•
	48	5,562,641	10-08-1996	Flomemblit et al.	
\Box	49	5,582,619	12-10-1996	Ken	
	50	5,601,600	02-11-1997	Ton	
	51	5,755,773	05-26-1998	Evans et al.	
	52	5,843,158	12-01-1998	Lenker et al.	
	53	5,935,137	08-10-1999	Saadat et al.	
	54	6,096,052	08-01-2000	Callister et al.	
	55	6,143,007	11-01-2000	Mariant	
	56	6,145,505	11-14-2000	Nikolchev et al.	
W	57	6,176,240	01-23-2001	Nikolchev et al.	
	58	6,432,116	08-13-2002	Callister et al.	
/S.A./	59	6,526,979	03-04-2003	Nikolchev et al.	

-		•	FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*		Foreign Patent Document		<u> </u>		Pages, Columns, Lines,	
	Cite No.1	Country Code ³	Number ⁴ Kind Code ⁸ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
IS A I	60	CN	1047447A	05-12-1990			
1	61	DE	28 03 685	08-02-1979			\boxtimes
	62	EΡ	0 010 812	05-14-1980			\Box
	63	EP	0 891 757	01-20-1999			
	64	GB	2 038 186	07-23-1980			
	65	GB	2 221 095	06-28-1989			
	66	NL	7810696	04-29-1990			
	67	wo	93/06884	04-15-1993			
	68	wo	94/06503	03-31-1994			
	69	wo	94/10936	05-26-1994			
	70	wo	94/11051	05-26-1994			
\I	71	wo	96/40023	12-19-1996			
V	72	wo	96/40024	12-19-1996			
/S.A./	73	wo	97/08997	03-13-1997			

		<u></u>		
Examiner Signature	/Shumaya Ali/		Date Considered	04/02/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-03)

stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use	as man	y sne	eis as	nece	essary)
 		$\overline{}$		•	

	Complete If Known
Application Number	10/665,973
Filing Date	September 18, 2003
First Named Inventor	TRAN, QUANG
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	016355-004600US

			FOREIGN F	PATENT DOCUME	NTS	·	
		Foreign Patent Document				Pages, Columns, Lines,	1
Examiner Initials*	Cite No.1	Country Code ³	Number ⁴ Kind Code ⁴ (If know	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ ⁶
/S.A./	74	wo	98/26737	06-25-1998		<u> </u>	\sqcup
17	75	wo	98/55046	12-10-1998			
-	76	wo	99/15116	04-01-1999			
IS A I	77	wo	00/13624	03-16-2000			\Box

					١.
	Examiner Signature	/Shumaya Ali/	Date Considered	04/02/2007	
- 1	O.g. 12.0.0	•			_

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

Complete if Known				
Application Number	10/665,973			
Filing Date	September 18, 2003			
First Named Inventor	TRAN, QUANG			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attomey Docket Number	016355-004600US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	No. 1 publisher, city and/or country where published.		T 2
/S.A./			
,	79	Brundin, J., "Transcervical sterilization in the human female by ysteroscopic application of hydrogelic occlusive devices into the intramural parts of the Fallopian tubes: 10 years experience of the P-block," European Journal of Obstetrics & Gynecology and Reporductive Biology, Vol. 39, pp. 41-49, 1991.	
	80	Complete Chinese-to-English translation of Chinese Patent Publication No. CN 1047447A.	
	81	Conceptus Annual Report (1995) pp. 3,5,7,9,13-14, and 22.	
	82	Conceptus Annual Report (1996) pp. x,3,9,and 13-14.	
	83	Erb, R.A. et al., "Hysteroscopic Oviductal Blocking with Formed-in-Place Silicone Rubber Plugs," The Journal of Reproductive Medicine, pp. 65-68, August, 1979.	
,	84	Gordon, A.G., et al., Atlas of Gynecologic Endoscopy, Mosby-Wolfe Press, 2nd Edition, 1995 (Title Page and Table of Contents are enclosed herewith).	
	85	Gupta, D.N. et al., "Antifertility Effect of an Intrafallopian Tubal Copper Device," Indian J. Exp. Biol., Vol. 14, pp. 316-319, May, 1976.	
	86	Hamou, J. et al., "Hysteroscopic Reversible Tubal Sterilization," ACTA Europaea Fertilitatis, Vol. 15, No. 2, 1984.	
	87	Reed, T.P. et al., "Tubal Occlusion with Silicone Rubber," The Journal of Reproductive Medicine, pp. 25-28, July, 1980.	
	88	Ross, P.L. et al., "Transcatheter Tubal Sterilization in Rabbits," Investigative Radiology, Vol. 29, No. 5, pp. 570-573, 1994.	
\forall	. 89	Sciarra, J.J., et al., eds., Advances in Fernale Sterilization Techniques, Harper & Row, Publishers, 1976, Title Page and Table of Contents are enclosed herewith, pp. 169-181, 186-189.	
/S.A./	90	Steptoe, P.C., "The Potential Use of Intratubal Stents for Reversible Sterilization," Laaroscopy, pges. 91-99, circa 1976.	

				_
Examiner Signature	/Shumaya Ali/	Date Considered	04/02/2007	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.